

# Dynamic behavior of a perfunctionalized β-cyclodextrin as probed by NMR and molecular modeling

Laurent Catoire <sup>a</sup>, Veronique Michon <sup>a</sup>, Laurence Monville <sup>a</sup>, Alexandre Hocquet <sup>a</sup>, Ludovic Jullien <sup>a</sup>, Josette Canceill <sup>b</sup>, Jean-Marie Lehn <sup>b</sup>, Martial Piotto <sup>c</sup>, Catherine Hervé du Penhoat <sup>a,\*</sup>

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# **Abstract**

Conformational analysis of a perfunctionalized  $\beta$ -cyclodextrin by NMR and molecular modeling has revealed that this compound exists as an equilibrium mixture of  $C_1$  and  $C_7$  conformers in slow exchange. From carbon chemical shifts, interglycosidic vicinal heteronuclear coupling constants and NOESY volumes, it has been demonstrated that the macrocyclic conformation is responsable for the asymmetry in the  $C_1$  form. Several dynamic processes such as rapid conformational averaging, localized chemical exchange and the  $C_1/C_7$  equilibrium have been shown to occur on widely separated timescales. In parallel, a molecular modeling study has revealed that the accessible conformational space of  $\beta$ -CDs is not significantly reduced with respect to that of the related disaccharide,  $\beta$ -maltose. All of the dynamic phenomena appear to be related to the macrocyclic puckering and two distinct modes of variation in puckering have emerged from MD trajectories: transitions are either auto-compensated without perceptible change in macrocycle puckering or irreversible leading to considerable variation in macrocycle puckering. Optimization of the NMR-defined theoretical structures leads to auto-complexation of an exocyclic substituent. © 1997 Elsevier Science Ltd.

Keywords: NMR; Molecular dynamics; Macrocyclic puckering

<sup>&</sup>lt;sup>a</sup> Département de Chimie, URA 1679, Ecole Normale Supérieure, 24 rue Lhomond, F-75231 Paris, France

<sup>&</sup>lt;sup>b</sup> Laboratoire de Chimie des Interactions Moléculaires, UPR 285, Collège de France, 11 Place Marcelin Berthelot, F-75231 Paris Cedex 05, France

<sup>&</sup>lt;sup>c</sup> Unité Mixte de Recherche Bruker, CNRS, Université Louis Pasteur, UMR 50, F-67166 Wissembourg, France

<sup>\*</sup> Corresponding author.

# 1. Introduction

With the advent of supramolecular chemistry, considerable effort has been devoted to the synthesis and structural characterization of macrocyclic compounds over the past two decades. Such molecules often adopt structures which contain molecular cavities that can accommodate guest compounds. Although already analyzed in some detail (for reviews see [1,2]), the driving force governing host-guest interactions in such species is still poorly understood. It has been postulated that the presence of clefts in the empty host often causes the solvent to locally adopt high-energy configurations. The removal of the solvent from the cavity partially provides the driving force for guest complexation (solvophobic effect). In studies of the solution behaviour of cyclodextrin derivatives [3], the conformational preferences have been tentatively rationalized by focusing on the importance of hydroxyl substitution of the native cyclodextrins (lack of network of internal hydrogen bonds, steric hindrance,...). In fact, such explanations implicitly assume that the modification of the cyclodextrin sugar backbone during substitution does not alter significantly its Gibbs free energy. The present paper analyzes how far such an assumption may be considered as valid.

To address this issue, the structural characterization of the symmetrically modified  $\beta$ -cyclodextrin [4], 1 [per-2,3-di-O-benzyl-per-6-O-(2-methoxy)-(6-naphthoyl)- $\beta$ -cyclodextrin], was undertaken with both NMR and molecular modeling methods. In earlier work [4], it was demonstrated that 1 (as well as many other perfunctionalized CDs) exists as a mixture of symmetrical and unsymmetrical conformers of similar stabilities in slow exchange at room temperature.

The major goals of this work were (i) to elucidate the nature of the conformational changes which lead to the loss of the seven-fold axis of symmetry and in particular to obtain direct evidence for a modification of the CD-backbone in the unsymmetrical conformer, (ii) to establish a motional model for the various types of conformational fluctuations with particular emphasis on the general case of symmetrical macrocyclic compounds [5,6] for which it is of interest to evaluate the length of the simulations required for reproducing the observed time-averaged molecular symmetry, (iii) to improve our understanding of the relationship existing between the molecular structure of derivatized CDs and their conformational behaviour with special emphasis on Gibbs free energies involved in the conformational equilibria and on the corresponding activation energies.

# 2. Experimental

Nomenclature.—The synthesis of per-2,3-di-O-benzyl-per-6-O-(2-methoxy)-(6-naphthoyl)- $\beta$ -cyclodextrin, **1**, has been reported elsewhere [4]. A schematic representation of **1** is given in Fig. 1 along with the numbering of the heavy atoms and the protons of interest. The sign of the torsion angles is defined in agreement with the IUPAC-IUB Commission of Biochemical Nomenclature [7]. The notation used to define the conformation about the glycosidic linkage bonds is as follows:

$$\Phi_{H} = \text{H-}1-\text{C-}1-\text{O-}4'-\text{C-}4',$$
  
 $\Psi_{H} = \text{C-}1-\text{O-}4'-\text{C-}4'-\text{H-}4',$   
 $\beta = \text{C-}1-\text{O-}4'-\text{C-}4'.$ 

The puckering of the pyranosyl rings is defined by the  $\nu_i$  dihedrals and that of the CD macrocycle is described by  $\theta_L$ :

$$\nu_1 = \text{C-1-O-5-C-5-C-4},$$

$$\nu_2 = \text{O-5-C-5-C-4-C-3},$$

$$\nu_3 = \text{C-5-C-4-C-3-C-2},$$

$$\nu_4 = \text{C-4-C-3-C-2-C-1},$$

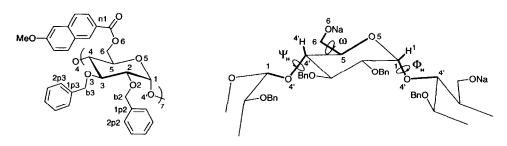


Fig. 1. (left) Molecular formula of modified  $\beta$ -cyclodextrin 1 with numbering of the heavy atoms. (right) Schematic representation illustrating the definition of several dihedral angles ( $\Phi_H$ ,  $\Psi_H$ ,  $\omega$ ) involved in the conformational description of 1.

$$v_5 = \text{C-3-C-2-C-1-O-5},$$
  
 $v_6 = \text{C-2-C-1-O-5-C-5},$ 

and

$$\theta_{L} = O-4-C-4-C-1-O-4'$$
.

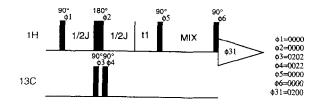
The orientation of the C-6 exocyclic group is as follows:

$$\omega = O-5-C-5-C-6-O-6$$
.

*NMR Spectroscopy.*—<sup>1</sup>H (400.13, 500.13, and 750.13 MHz) and <sup>13</sup>C (100.6 and 188.63 MHz) spectra of a 10 mM solution of 1 in acetone- $d_6$  were recorded with Bruker instruments operating in the Fourier transform at 296 K unless stated otherwise. Tubes used in the relaxation experiments were degassed with five freeze-pump-thaw cycles under argon and then sealed. Chemical shifts for the pyranosyl and benzylic methylene protons were assigned from phase-sensitive COSYDQF and NOESY (2K × 2K data matrix; 3 Hz/pt) spectra, and a COSYLR  $(1K \times 1K \text{ data matrix}; 3 \text{ Hz/pt}) \text{ experiment. Carbon}$ chemical shifts for the pyranosyl and benzylic methylene carbons were assigned from the HETCOR spectrum. Vicinal coupling constants,  ${}^{3}J_{H,H}$ , were extracted from the 1D spectrum with a digital resolution of 0.12 Hz/pt where possible. In the other cases, the cross-peak patterns in the COSYDQF spectrum for a given type of coupling (i.e. H-1/H-2) were compared to those corresponding to a multiplet which was amenable to analysis in the 1D spectrum (vide infra). The mixing time for the 750.13 MHz NOESY spectrum was 200 ms. Quantitative 400.13 MHz phasesensitive NOESY [8] spectra were acquired with mixing times of 0 and 400 ms. A 20 ms variable delay was introduced at the beginning of the mixing time in order to suppress J-peak transfer [9,10]. The recycle time was set to 5 times the longest  $T_1$  in order to obtain a symmetrical normalized NOESY volume matrix. The diagonal  $(a_{kk})$  and cross peak  $(a_{kl})$ intensities were evaluated either from the summed F<sub>1</sub> subspectra contributing to a specific signal and/or with the volume integration routine in the commercial package Sybyl6.0 (Tripos Assoc.). Integration of noise in these spectra gave  $\pm 0.005$  units of normalized intensity with respect to the diagonal volume

 $(\tau_{\rm m}=0~{\rm s})$  for typical peak widths.  $^{13}{\rm C}~T_{\rm l}$  measurements were acquired with the inversion-recovery sequence  $(180-\tau-90-{\rm FID})$  and relaxation times were calculated with the Bruker  $T_{\rm l}$  routine. The heteronuclear NOE factor  $(\eta)$  was established with the inverse-gated technique. Heteronuclear coupling constants were determined at 500.13

MHz with a  $^{13}\text{C-}\omega_1$ -half-filtered NOESY [11] experiment according to the following sequence:



In the case of  $\beta$ -CD 1, NOE mixing was necessary instead of TOCSY mixing since the scalar coupling between H-4' and H-1 is negligible. The NOESY mixing time was set to 350 ms and the delay 1/2 J to 3.5 ms (141 Hz). All phases preceding the t1 evolution time were cycled through the TPPI procedure to achieve sign discrimination in F1 [12]. The sample concentration was roughly 20 mM and the total measuring time for the data matrix (2048  $\times$  256 points, 9 and 1 Hz/pt in F1 and F2, respectively) was 48 h.

This experiment is based on the fact that if one selects a H-4' proton bonded to a <sup>13</sup>C nucleus (1.1% of the molecules) during the first part of the sequence, this proton will precess under the influence of  ${}^{1}J_{H-4',C-4'}$  ( $\sim 140$  Hz) during t1. Depending on the spin state of the <sup>13</sup>C nucleus ( $\alpha$  or  $\beta$ ) the proton will precess at its frequency  $(\nu_{H-4'})$  minus  $({}^{1}J_{H-4',C-4'})/2$ ( $\alpha$  case) or plus ( ${}^{1}J_{\text{H-4',C-4'}}$ )/2 ( $\beta$  case). The NOE mixing process will then transfer the magnetisation from H-4' to H-1. This proton is attached to a <sup>12</sup>C nucleus (98.9% of the molecules) and will therefore evolve during t2 under the influence of  ${}^3J_{\text{H-I,C-4}'_3}$  (the coupling constant we want to measure). As the <sup>13</sup>C-4' is still in the same spin state ( $\alpha$  or  $\beta$ ) as during t1, the H-I proton will precess at its frequency ( $\nu_{H-I}$ ) minus  $({}^{3}\dot{J}_{\text{H-1.C-4'}})/2$  ( $\alpha$  case) or plus  $({}^{3}J_{\text{H-1.C-4'}})/2$ ( $\beta$  case). This phenomenon gives rise to the pattern observed in such spectra [11].

Computational methods.—The absence of strong solvent effects on the position of conformational equilibria and corresponding activation energies [4] justifies in vacuo molecular modeling of this carbohydrate for which meaningful condensed-phase simulations would be unreasonably long (483 atoms). The in vacuo behavior of modified  $\beta$ -CD 1 and unmodified  $\beta$ -CD 2 was investigated using the carbohydrate force field developed by Ha et al. [13] (hereafter referred to as the HGFB force field). This force field was implemented in the general molecular mechanics CHARMm program and parameters for the aromatic atom types were taken from the general force field available in the CHARMm program [14]. The initial

coordinates for the cyclodextrin macrocycle 2 were those reported [15] for crystalline  $\beta$ -cyclodextrin ethanol octahydrate. Modified  $\beta$ -CD 1 was constructed with the molecular graphics software Quanta 4.0 (MSI Inc.) by progressively introducing the benzyl groups at the O-2 and O-3 positions followed by the naphthoyl moieties at O-6. The partial charges of the carbohydrate moieties are given in the original paper [13] while the charges for the aromatic groups were based on those of the Quanta force field (PARM.PRM) with the necessary modifications to obtain neutral fragments. Dihedral constraints on the  $\nu_{\rm i}$  pyranosyl puckering angles were required to maintain  ${}^4C_1$  ring form. At each step, the geometry was optimized with the conjugate gradient minimization algorithm until the root-mean-square (rms) gradient was less than 0.001 kcal/(mol  $\mathring{A}^2$ ). Non-bonded interactions were evaluated on a group-to-group basis with the groups corresponding to electrostatically neutral fragments. No cutoff for long-range nonbonded interactions was introduced in the calculations.

Restrained MD simulations were performed for various optimized structures of 1 and 2. Newton's equations of motion were integrated for each atom using the two-step velocity Verlet algorithm [16] implemented in the CHARMm program. All hydrogen atoms were explicitly included although bond lengths involving hydrogens were kept fixed throughout the simulations using the constraint algorithm SHAKE [17]. The trajectories were thermalized by increasing the temperature by 20 K in 1 ps intervals by a velocity reassignment procedure until the desired value of 300 K was obtained, resulting in a heating period of 15 ps. The heating period was followed by an equilibration period of 30 ps, where atomic velocities were scaled if the temperature deviated from the desired value by more than  $\pm 5$  K. Following the thermalization period the molecular system was allowed to evolve without further interference for subsequent steps of 200 ps. Energy was well-conserved in the simulations, with the average rms fluctuations in the Hamiltonian divided by those of the kinetic energy being less than 4 kcal/mol when collected in 0.1 ps intervals of the production dynamics trajectories. The rms fluctuations in the temperature were about 10 °C.

Calculation of NMR parameters.—Coupling constants,  ${}^{3}J_{H,H}$ , for vicinal hydrogen atoms of a H-C-C-H segment were calculated using a Karplus type equation with the Haasnoot-Altona parametrization [18]:

$${}^{3}J_{H,H} = P_{1}\cos^{2}(\Theta) + P_{2}\cos(\Theta) + P_{3} + \sum \Delta \chi_{i} (P_{4} + P_{5}\cos^{2}(\xi_{i}\Theta + P_{6}|\Delta \chi_{i}|)).$$
(1)

It accounts for J dependence on the dihedral angle  $(\Theta)$  of the H-C-C-H fragment, on the electronegativity of participating atoms [19] and on the orientation of the  $\alpha$  and  $\beta$  substituents. The heteronuclear coupling constant  ${}^3J_{\rm H,C}$  across the glycosidic linkage was calculated by using the equation for the C-O-C-H segment proposed by Tvaroska et al. [20]:

$$^{3}J_{\rm H,C} = 5.7\cos^{2}(\Theta_{\rm H}) - 0.6\cos(\Theta_{\rm H}) + 0.5.$$
 (2)

In the simulation of relaxation data of flexible carbohydrate systems internal dynamics must be accounted for by using appropriate expressions for the spectral densities  $[J(\omega)]$  associated with ensembleaverage internuclear distance matrices ( $\langle r^{-6} \rangle$  and  $\langle r^{-3} \rangle$ ). Thus, theoretical carbon  $T_1$  and NOE data have been established with the 'model-free' formalism [21], in which a physical motional model can be described with only three parameters,  $S^2$ ,  $\tau_c$ , and  $\tau_e$  $(S^2$ , the spatial restriction of internal motion, which is equal to 1 for a rigid molecule and to 0 for a totally-flexible molecule;  $\tau_c$ , the correlation time for overall reorientation; and  $\tau_e$ , the correlation time for internal motion). NOESY volumes were obtained by back calculation of the relaxation matrix established with the dynamic model derived from the carbon relaxation data and the MD interproton distance matrices as previously described [22,23].

# 3. Results and discussion

As reported in prior work [4], numerous sets of glucosyl signals were detected in the 400 MHz (100 MHz)  $^{1}$ H  $^{(13}$ C) spectrum of 1 in acetone- $d_6$  and inspection of both <sup>1</sup>H and <sup>13</sup>C resonances revealed several unusually broad signals suggesting that the  $C_1$ form of  $\beta$ -CD 1 was also undergoing some form of chemical exchange. The following strategy was adopted to elucidate the nature of these various dynamic processus: (1) assignment of the <sup>1</sup>H and <sup>13</sup>C chemical shifts based on 2D correlation and NOESY spectra, (2) determination of the macrocylic puckering of both the  $C_1$  and  $C_7$  conformers through the experimental interglycosidic heteronuclear scalar coupling constants and homonuclear NOEs, (3) detection of chemical exchange through variable-temperature spectra, (4) estimation of the amplitude of fast internal motion (pico- to nanosecond timescale) from

laboratory-frame heteronuclear relaxation data, and finally (5) exploration of nanosecond fluctuations in macrocyclic puckering with molecular modeling.

NMR Chemical shift assignment and coupling constant data.—The anomeric region of the 100.6 MHz inverse-gated <sup>13</sup>C spectrum of 1 contained seven resonances of equal intensity and one remaining signal which integrated for twice that of the others. Hereafter, these conformers will be referred to as 1A (the asymmetric  $C_1$  form) and **1S** (the  $C_7$  conformer). The glucosyl residues of 1A were labeled 1 to 7 in the order of decreasing chemical shifts for the signals of the anomeric carbons. A heteronuclear correlation spectrum led to assignment of the eight anomeric protons which were labeled H-1(1) to H-1(7) for 1A and H-1(S) for 1S. Favorable spectral dispersion allowed complete assignment of the H-1 to H-5 signals of both 1A and 1S conformers. The primary hydroxyl proton resonances of 1S and those of six of the seven residues of 1A were identified in a longrange COSY experiment. The remaining glucosyl methylene signals, which had been unambiguously detected in the HETCOR experiment, were assigned to H-6a(3) and H-6b(3) (by convention, H-6a refers to the methylene proton which resonates at lower field). The <sup>1</sup>H and <sup>13</sup>C chemical shift data for the glucosyl residues of 1A and 1S are collected in Tables 1 and 2.

Several of the multiplets for H-1 (1S and residues 5 and 7 of 1A), H-2 (1S and residue 1 of 1A), and

Table 2 100.6 MHz  $^{13}$ C chemical shifts of a 10 mM solution of 1 in acetone- $d_6$  referenced to the solvent methyl signal ( $\delta_C$  28.1 ppm)

Sugar	C-1	C-2	C-3	C-4	C-5	C-6
1	100.50	77.35	78.88	80.90 a	68.97	62.72
2	98.37	79.21	79.66	83.11 <sup>a</sup>	70.59	64.97
3	97.50	78.00 *	78.14	80.71 ° *	70.53	62.95
4	97.32	79.35	80.80	72.01 *	67.94	63.54
5	96.82	78.48	80.07 °	79.49	69.60	65.33
6	94.95	79.49	79.74 <sup>b</sup>	73.84	66.42	66.64
7	94.75	77.79	81.38 <sup>b</sup>	83.23	67.94	63.20
Sym	<b>9</b> 7.71	78.14	79.79	78.27	69.74	63.25

abe Carbon assignments may be reversed.

H-3 (residues 6 and 7 of 1A) were located in non-overlapping regions of the 400.13 MHz 1D spectrum and therefore the corresponding  ${}^3J_{1,2}$  and  ${}^3J_{2,3}$  coupling constants could be determined very precisely ( $\pm 0.1 \text{ Hz/pt}$ ). Comparison of the fine structure of the eight H-1/H-2 cross-peaks in the expanded region of the COSYDQF spectrum indicated that all of the vicinal coupling constants for these two spins in both the 1A and 1S conformers were almost identical. Similarly, inspection of the eight crosspeaks for H-3/H-4 of 1A and 1S reveals analogous  ${}^3J_{3,4}$  and  ${}^3J_{4,5}$  coupling constant patterns. Upon examining the fine structure of the H-4/H-5 cross-peaks (H-5 in F2), it was evident that four sets of peaks were very similar

Table 1 400 MHz <sup>1</sup>H chemical shifts (multiplicity, coupling constants) <sup>a</sup> of a 10 mM solution of **1** in acetone- $d_6$  referenced to the residual solvent signal ( $\delta_{\rm H}$  2.2 ppm)

Sugar	H-1	H-2	H-3	H-4	H-5	H-6a	H-6b
1	5.336 <sup>a</sup> (d, 3.2)	3.603 (dd, 9.5-3.2)	3.70 b (t, g)	4.12 (t, g)	4.49 (d, g)	5.10 (d, tg)	4.98 (tg)
2	5.509 (d, 2.5)	3.95 (dd. g, p)	4.88 (t, g)	4.07 (t, g)	5.23 (t, tg)	5.69 (d, 11.6)	4.69 (t, tg)
3	6.244 (d, p)	4.057 (dd, 9.9, 3.2)	5.10 (t, g)	4.65 (t, g)	4.65 AB	4.99 (d, tg)	4.78 (d, tg)
4	5.173 (d, 3.4)	3.666 (dd, 9.4, 3.2)	3.75 (t, g)	4.38 (t, g)	3.98 (d, g)	5.53 (d, tg)	5.16 (d, tg)
5	5.598 (d, 3.4)	3.921 (dd, g, p)	4.65 (t, g)	4.74 (t, 9.5)	5.47 (d, g)	6.06 (d, 13.1)	5.92 (d,13.1)
6	5.474 (d, 2.5)	3.141 (dd, g, p)	4.21 (t,9.5)	3.91 (t, g)	4.99 (d, g)	5.47 (d, g)	4.48 (d, g)
7	5.959 (d, 3.4)	3.702 (dd, 9.5, 3.2)	4.22 (t, 9.5)	4.14 (t, g)	4.85 (d, tg)	5.28 ABX	5.22 ABX
Sym	5.617 (d, 3.4)	3.863 (dd, 9.1, 3.2)	4.47 (t,g)	4.38 (t,g)	4.68 (d, g)	5.13 ABX	5.13 ABX

<sup>&</sup>lt;sup>a</sup> Key: p = 0-4 Hz;  $g \sim 8$  Hz; tg > 11 Hz; d = doublet; t = triplet.

<sup>\*</sup> Coalescence-broadened resonances.

(1S and residues 1, 5, and 4 of 1A). In contrast, the F2 width of the H-4/H-5 crosspeaks of residues 2 and 6 of 1A were almost twice as large indicating that the summed  ${}^3J_{5,6a}$ , and  ${}^3J_{5,6b}$  value was much larger. The F2 width of H-4/H-5 was intermediate in the case of residue 7, whereas H-4/H-5 crosspeaks were not detected for residue 3. These rough estimates of the H-5/H-6 coupling constants along with those that could be measured in the 1D spectrum [the signals for H-6a(5) and H-6b(5) were clearly visible] are also collected in Table 1.

Pyranosyl ring conformation.—The most common ring form for the  $\alpha$ -glucopyranosyl residues of CDs is  ${}^4C_1$ . However, the guest-induced conformational change to the  ${}^{0}S_{2}$  form has been reported for the crystal structure of heptakis(2,3,6-tri-O-methyl)-βcvclodextrin complexes of m-iodophenol and 4-biphenylacidic acid [24]. Theoretical values for the vicinal coupling constants of the  ${}^{0}S_{2}$  form as well as the neighbouring  $B_{2,5}$  and  ${}^{0,3}B$  forms on the pseudorotational wheel were reported [25] for an  $\alpha$ -glucosyl residue substituted by a nitrilium ion at C-1. The  $^3J_{1,2}$  and  $^3J_{2,3}$  coupling constants for all three conformers are very different (0.9 and 3.8, 7.1 and 2.9, and 7.1 and 0.5 Hz for  $B_{2,5}$ ,  $^{0,3}B$ , and  $^{0}S_{2}$ , respectively) from those of both 1A and 1S which are analogous to the values calculated [26] for the  ${}^4C_1$ form of  $\alpha$ -glucopyranose (3.6 and 9.7 Hz) indicating that both conformers of modified  $\beta$ -CD 1 adopted the latter pyranosyl ring form.

Rotamer distribution.—The  ${}^3J_{5.6a}$  and  ${}^3J_{5.6b}$  values for the ideally staggered GG, GT, and TG rotamers [27] are very different (1.1 and 2.9, 4.9 and 10.8, and 10.8 and 3.1 Hz, respectively, for GG, GT, and TG) and these parameters are an excellent probe of the conformation of the exocyclic group. In a conformational study of hydroxymethyl groups in carbohydrates, Bock and Duus [28] showed that the populations of GG and GT rotamers of  $\alpha$ -glucosyl sugars are generally roughly 1:1 with a negligible population of the TG orientation. As regards CDs, the major rotamer in solution adopts the GG orientation [29]. In a study of  $\beta$ -CD dodecahydrate [30] and in the aforementioned study of crystalline inclusion complexes [24], five of the residues presented the GG conformation while the remaining two adopted GT geometry. From the description of the fine structure of the H-5 multiplets it could be deduced that the primary hydroxyl substituents of 1S and residues 1, 5, and 4 of 1A adopt the GG conformation, whereas those of residues 2 and 6 present the GT orientation.

Sequential information.—The 400.13 MHz

phase-sensitive NOESY spectrum corroborated the  $C_1$  conformation of the pyranosyl rings of 1A and 1S. Strong crosspeaks for the H-1/H-2 and H-2/H-4 interactions were detected for all eight sugars. Partial sequential information for 1A could be deduced from the H-1/H-4' crosspeaks. However, a two-fold variation in concentration between the samples used for the COSYDOF and NOESY experiments led to significant shifts in the certain resonance frequencies [for example, the signals of H-3(6) and H-3(7) became superposed] and as a result the chemical shifts of H-4(1) and H-4(7) (4.12 and 4.14 ppm, respectively, in the sample used for the COSYDQF spectrum) could no longer be distinguished at 400.13 MHz. At this magnetic field strength, both -1-2-7-6-5-3-4 and -2-1-7-6-5-3-4 sequences were compatible with data for 1A. The 750.13 MHz NOESY spectrum of 1 is given in Fig. 2. Unambiguous assignment of the H-1/H-4' crosspeaks was possible due to the greatly-improved signal dispersion and signal-to-noise ratio and the sequence for 1A was shown to be -1-2-7-6-5-3-4 (Fig. 3). Moreover, crosspeaks between both H-2 and H-3 and the two methylene protons of the corresponding benzyl substituents were clearly visible. Thus, all of the benzyl methylene spins were assigned and these <sup>1</sup>H and <sup>13</sup>C chemical shift data are collected in Table 3.

Glycosidic linkage.—Heteronuclear coupling constants across the glycosidic bond were measured with the  $^{13}\text{C}$ - $\omega_1$ -half-filtered NOESY [11] which is based on proton-detection of  ${}^3J_{\rm C,H}$  coupling constants via E. COSY [31] type cross-peak patterns and can be applied to samples of relatively low concentration (~ 20 mM). The related  $^{13}\text{C}-\omega_1$ -half-filtered ROESY [32] technique was reported in a study of cyclic glucosyl oligomers. The expanded region containing the H-1(4)/H-4(1) crosspeaks is given in Fig. 4 and the heteronuclear coupling constant data are collected in Table 4. It can be seen that the average values of  $^3J_{C-1,H-4'}$  and  $^3J_{H-1,C-4'}$  for **1A** are very different from those of **1S** suggesting very different conformations of the macrocycle. The relative signs of the coupling constants are also determined with this method [for example, the opposite tilt is observed for the geminal H-1(4)/C-2(4) crosspeaks above indicating a negative coupling constant] which would be useful for deriving Karplus-type equations.

Normalized  $a_{\text{H-1,H-4'}}$  crosspeak volumes are collected in Table 4. The average intensity of the **1A** interglycosidic crosspeaks (0.032) is slightly greater than that of the intraresidue  $a_{\text{H-1,H-2}}$  ones (0.020). As the  $r_{\text{H-1,H-2}}$  distance is typically  $\sim 2.45 \,\text{Å}$  for  $\alpha\text{-Glc}p$ ,

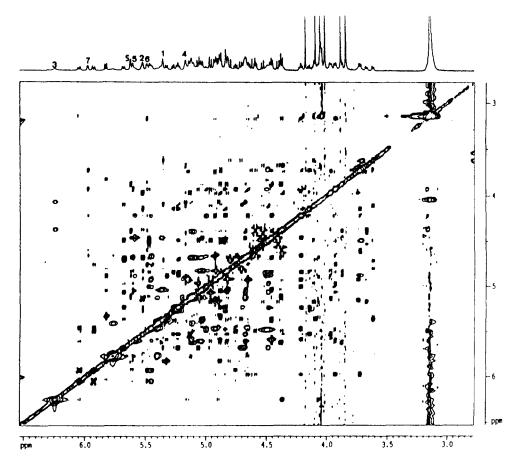


Fig. 2. 750.13 MHz phase-sensitive NOESY spectral region (below) containing the resonances of the sugar protons of a 10 mM sample of modified  $\beta$ -cyclodextrin 1 in acetone- $d_6$ . The corresponding 1D proton spectrum is also given (above).

this indicates that the corresponding average distance  $r_{\text{H-1,H-4'}}$  must be fairly short. The  $a_{\text{H-1,H-4'}}$  values are fairly homogeneous  $(0.037 \pm 0.005)$  with the exception of two very weak interactions between Glc(3)/Glc(4) and Glc(4)/Glc(1) (and thus two longer  $r_{\text{H-1,H-4'}}$  distances) corroborating a  $C_1$  conformation for the **1A** macrocycle.

A third criteria for evaluating the orientation of the glycosidic linkages was the carbon chemical shifts of C-1 and C-4'. It is known that significant variations in <sup>13</sup>C chemical shifts of carbohydrates are caused by spatial proton–proton interactions, which induce a

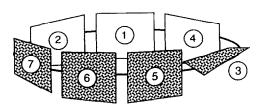


Fig. 3. Schematic representation of the sugar cyclodextrin backbone in **1A** as deduced from NMR experiments. View from above the primary face.

Table 3 <sup>1</sup>H and <sup>13</sup>C NMR data <sup>a</sup> of the benzyl methylene carbons of a 10 mM solution of 1

	$\delta_{ m C}$	$\delta_{_{ m Ha}}$	$\delta_{ ext{Hb}}$	$^2J_{ m Ha,Hb}$
Cb-3(5)	75.52	5.445	4.90	d, tg
Cb-3(6 or 7)	75.06	5.574	4.458	d, tg
Cb-3(3)	74.88 *	5.750	5.389	9.6
Cb-3(2)	74.74	5.80	5.305	11.0
Cb-3(1)	74.46	4.859	4.463	d, tg
Cb-3(S)	74.36	5.352	5.015	11.0
Cb-2(3)	73.70 *	5.150	4.866	d, tg
Cb-3(4)	73.18	5.137	4.911	d, tg
Cb-3(6 or 7)	72.62	4.900	4.634	d, tg
Cb-2(5)	71.71	5.036	4.929	d, tg
Cb-2(S)	71.67	4.858	4.798	d, tg
Cb-2(7)	71.48	4.602	4.554	d, tg
Cb-2(2)	71.45	4.882	4.784	d, tg
Cb-2(1)	71.30	4.784	4.676	d, tg
Cb-2(6)	71.30	4.563	4.340	d, tg
Cb-2(4)	71.02	4.518	4.382	d, tg

<sup>&</sup>lt;sup>a</sup> Conditions and symbols as in Tables 1 and 2.

polarization of the C-H bonds and, as a result, a change in the shielding of the carbon nuclei [33]. Correlation of these chemical shift variations,  $\Delta \delta_C$ ,

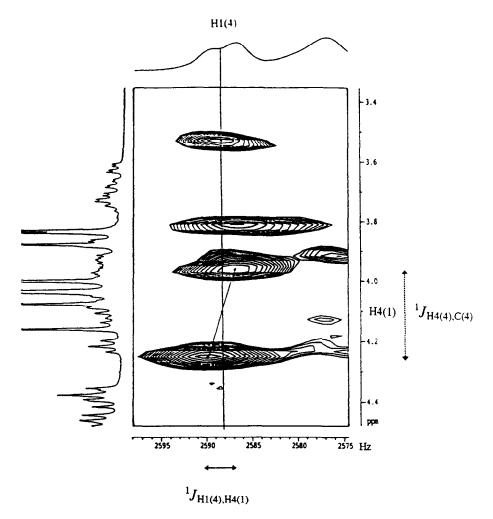


Fig. 4. Region of the 500.13 MHz  $^{13}$ C- $\omega_1$ -half-filtered NOESY spectrum containing the H-1(4)/H-4(1) crosspeaks of a 20 mM sample of modified  $\beta$ -cyclodextrin 1 in acetone- $d_6$ . The corresponding 1D proton spectrum is also given (above).

with  $\Phi_{\mathrm{H}}$  and  $\Psi_{\mathrm{H}}$  has been reported for solid-state <sup>13</sup>C spectra [34]. Bock et al. [35] have shown that in the case of non-reducing  $\alpha$ -(1  $\rightarrow$  4)-linked glucopyranose residues in oligosaccharides,  $\Delta \delta_{C-1}$  and  $\Delta \delta_{C-4}$ (i.e.  $\Delta \delta_{\text{C-1}} = \delta_{\text{obs}} - \delta_{\text{ref}}$ , where  $\delta_{\text{ref}}$  is the chemical shift of unsubstituted  $\alpha$ -Glcp [36]) can be correlated to the  $\Psi_{\rm H}$  value or alternatively the  $r_{{
m H-1,H-4'}}$  distance. Accordingly,  $r_{H-1,H-4'}$  values were evaluated from the  $\Delta \delta$  values of C-1 and C-4' of 1A and the fitting parameters reported previously [36]. In the case of the  $3 \rightarrow 4$  glycosidic linkage fairly long  $r_{H-1,H-4'}$  distances were obtained from C-1(3) (2.60 Å) and C-4(4) (2.85 Å)  $\Delta \delta$  values in agreement with the quantitative NOESY volumes. However, much poorer agreement between the  $\Delta \delta_{\text{C-1}}$  and  $\Delta \delta_{\text{C-4}}$  estimations of r<sub>H-1,H-4'</sub> was obtained for the remaining linkages suggesting that other effects were also important.

Slow conformational exchange.—Certain signals in the <sup>1</sup>H and <sup>13</sup>C spectrum were very broad suggesting that the corresponding spins were undergoing chemical exchange. The 100.6 MHz <sup>13</sup>C spectra of 1

are given in Fig. 5. Coalescence of C-2(3), C-4(3), C-4(4), Cb-2(3) and Cb-3(3) was observed at 296 K and these signals narrowed significantly at 323 K. This phenomenon, which is localized at residue 3 (similarly, in the  $^{1}$ H spectrum, the H-1(3) signal is the only anomeric resonance which does not show splitting for the H-1/H-2 coupling), suggesting that this sugar can adopt at least two different stable  $C_1$  conformations at room temperature.

Dynamic model.—In order to establish a dynamic model for fast (nanosecond timescale) conformational fluctuations,  $^{13}$ C  $T_1$  and NOE factors ( $\eta$ ) were measured and these data are collected in Tables 5 and 6 along with the experimental deviations. The average standard deviation obtained with the fitting routine for the  $T_1$  values is also indicated. The average  $T_1$  value for both 1S and 1A conformers was 0.19 s. In the case of 1A those of the linkage carbons were slightly lower (C-1 and C-4, 0.16 and 0.18 s, respectively).

Theoretical plots of carbon  $1/T_1$  as a function of

Table 4 Theoretical and experimental inter-residue heteronuclear coupling constants, and NOESY crosspeak volumes (internuclear distances,  $r_{H-1,H-4'}$ ) of  $\beta$ -CDs 1 and 2

			Simulated data		Experimental data			
		<sup>3</sup> J <sub>H-1,C-4'</sub> (Hz) (Φ <sub>H</sub> (°)) <sup>a</sup>	<sup>3</sup> J <sub>C-1,H-4'</sub> (Hz) (Ψ <sub>H</sub> (°)) <sup>a</sup>	r <sub>H-1.H-4'</sub> (Å)	<sup>3</sup> J <sub>H-1,C-4'</sub> (Hz) (Φ <sub>H</sub> (°)) <sup>a</sup>	<sup>3</sup> J <sub>C-1.H-4'</sub> (Hz) (Ψ <sub>H</sub> (°)) <sup>a</sup>	$a_{\text{H-1.H-4'}} (r_{\text{H-1.H-4'}} (\mathring{A}))$	
S		5.4 (10.3 ± 11.5)	$1.3 \\ (28.2 \pm 17.7)$	$2.52 \pm 0.14$	1.5	2.0	0.032	
A	1	$5.2$ (15.7 $\pm$ 13.9)	4.4 (28.2 ± 17.7)	2.30	2.5	3.5	0.035	
	2	$5.3$ (13.1 $\pm$ 13.6)	5.2 (16.6 ± 15.3)	2.24	3.0	4.5	0.037	
	3	$4.5$ (26.3 $\pm$ 17.2)	0.8 (73.3 ± 16.2)	2.78	0	1.5 b	0.017	
	4	$4.0$ (32.9 $\pm$ 16.3)	$4.1$ (32.2 $\pm$ 20.4)	2.74	3.0	4.0 °	0.017	
	5	4.2 (31.1 + 12.4)	$4.6$ (24.7 $\pm$ 12.7)	2.23	4.0	0	0.039	
	6	$5.5$ (9.2 $\pm$ 13.8)	$4.9$ (21.3 $\pm$ 14.3)	2.24	3.5	-	0.037	
	7	$5.3$ (14.3 $\pm$ 13.8)	$5.4$ (11.5 $\pm$ 14.8)	2.15	2.0	_	0.042	
-CD	) (X-ı	ray structure)			(7.2)	(12.1)	(2.09)	
-CD	) (sol	ution)			5.7	5.6		
		$4.0$ (33.1 $\pm$ 8.1)	4.7 (24.6 ± 10.5)	$2.41 \pm 0.13$				

<sup>&</sup>lt;sup>a</sup> Absolute values.

 $\tau_c$  are given in Fig. 6a. The two values for  $S^2$  (0.9, left; 0.7, right) which have been used to establish these curves cover the range of order parameters which have been obtained by fitting of experimental data [22,23,37–41]. Several correlation times, covering three orders of magnitude, for the internal dynamics  $(\tau_e$ : key) have been considered. Two conclusions can be drawn from these plots. The  $T_1$  values of 1A and 1S correspond to the flat region of the  $1/T_1$  vs  $\tau_{\rm e}$  plot and therefore molecular reorientation is not well-defined from these data. Correlations times in the range of 0.6-2.6 ns associated with appropriate values of  $\tau_{\rm e}$  would all be compatible with the experimental data. Secondly, from the curves in Fig. 6a, it can be seen that it is not possible to distinguish between the case of low-amplitude fluctuations ( $S^2 =$ 0.9) associated with various  $\tau_{\rm e}$  values and less restricted internal dynamics ( $S^2 = 0.7$ ) associated with fairly slow (200–800 ps) internal motion.

The NOE factors were less uniform (0.45-0.74) with average  $\eta_{C-1}$  and  $\eta_{C-x}$  (x=2-5) values of 0.51 and 0.64 for **1A**. The  $\eta_{C-1}$  value of **1S** (0.47) was

slightly lower. Inhomogeneous carbon  $T_1$  and  $\eta$  data can be an indication of anisotropic overall tumbling. However, the overall shape of 1A is very close to that of a sphere of radius 10-11 Å (the diameter parallel to the cavity is roughly 20-22 Å while the diameter perpendicular to the cavity is 20-22 Å) precluding anisotropic overall motion (Fig. 7). Inspection of the  $\eta$  curves (Fig. 6b) shows that this parameter is not affected by internal dynamics when the motion is very restricted ( $S^2 = 0.9$ ). Thus, the wide range of experimental  $\eta$  values which were obtained for 1A cannot be reproduced for a model with spatially restricted internal motion. On the contrary,  $\eta$  is very sensitive to internal motion of sufficient amplitude  $(S^2 < 0.8)$  for  $\tau_c$  values greater than 0.5 ns. The motional model which emerged from the carbon relaxation data was characterized by an order parameter of 0.76, typical of pyranosyl carbons [22,23,38], a correlation time for internal motion of  $\sim 0.4$  ns in agreement with values reported for carbohydrates [22,23], and a  $\tau_c$  value between 0.8–1.0 ns in agreement with hydrodynamic theory. This dynamic repre-

Values very poorly defined.

<sup>&</sup>lt;sup>c</sup> Values poorly defined.

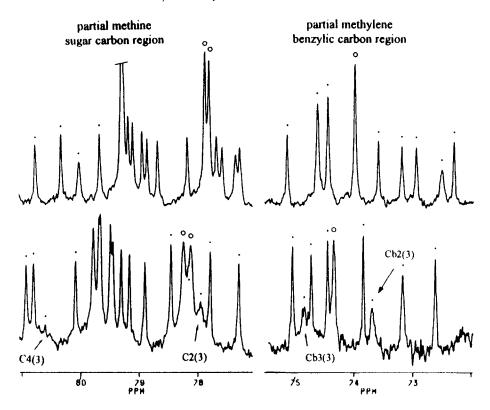


Fig. 5. 100.6 MHz carbon spectra of a 10 mM sample of modified  $\beta$ -cyclodextrin 1 in acetone- $d_6$  at 296 K (below) and 323 K (above). Signals for C-2(3), C-4(3), Cb-2(3) and Cb-3(3) have been labeled. Open circles ( $\bigcirc$ ) and dots ( $\bigcirc$ ) indicate signals of 1S and 1A, respectively.

sentation is very different from the one established for  $\mathbf{2}$  based on carbon relaxation data [41] which corresponded to a very rigid structure. However, the relative rigidity of  $\beta$ -CDs is generally attributed to inter-residue H-bonding and therefore a difference in the motional models of  $\mathbf{1}$  and  $\mathbf{2}$  is not entirely surprising.

Molecular modeling.—The initial coordinates for the various  $\beta$ -CD models were those reported in the neutron and X-ray diffraction study of  $\beta$ -cyclodextrin ethanol octahydrate [15]. The glycosidic torsional angles are all located in the same low-energy region  $(\Phi_{\rm H},\,-14~{\rm to}~3^\circ;\,{\rm and}~\Psi_{\rm H},\,-12~{\rm to}~21^\circ;\,{\rm B-well}~{\rm of}~{\rm the}$ maltose  $\Phi_{\rm H}$ ,  $\Psi_{\rm H}$  map [42,43]). Six out of seven exocyclic groups presented the GG orientation while the remaining one adopted the GT conformation. The values of the glycosidic valence angles, C-1-O-4'-C-4' ( $\beta$ ), were between 119–147° and the macrocyclic puckering angles,  $\theta_1$  (O-4–C-4–C-1–O-4'), varied from -4 to  $+6^{\circ}$ . The average value of the  $r_{H-1,H=4'}$ distance across the glycosidic linkages was 2.09 Å. Upon relaxation of 2 in the HGFB force field, the average values of  $\Phi_{\rm H}$  (-5°) and  $\Psi_{\rm H}$  (-2°) increased and decreased, respectively, while the average  $r_{\text{H-1,H-4'}}$  distance increased from 2.09 to 2.25 Å.

The average  $\beta$  glycosidic valence angle was 118° in good agreement with the value calculated for 2-methoxytetrahydropyran in an ab initio study [44].

During the molecular dynamics simulations of 2 with the HGFB force field (a total of 200 ps) the average fluctuations of the  $\Phi_{\mathrm{H}},~\Psi_{\mathrm{H}}$  dihedral angles were ~ 10°. The average value of the macrocyclic puckering dihedral  $\theta_1$  was 4.3°. Although the corresponding low-energy regions were reasonably wellexplored during these trajectories, transitions to neighbouring wells did not occur. In a study of maltose, similar behavior was observed for the 80-ps trajectories (CHARMM) in vacuum and in aqueous solution [45]. In contrast, a broad distribution of  $\Phi_{\rm H}$ ,  $\Psi_{\rm H}$  values encompassing the whole A–C low-energy region was reported for 100 ps MD simulations of 2 in vacuo and in water with the GROMOS force field [46]. The average values of the theoretical heteronuclear  ${}^3J_{\text{H-1,C-4'}}$  and  ${}^3J_{\text{C-1,H-4'}}$  coupling constants from the present MD simulation are analogous (5.4 and 4.4 Hz) to the corresponding experimental values (5.7) and 5.6 Hz) reported by Mulloy et al. [47].

MD simulations of modified  $\beta$ -CD **1S** were performed with harmonic constraints on both  $\nu_i$  (pyranosyl puckering dihedrals) and five of the seven

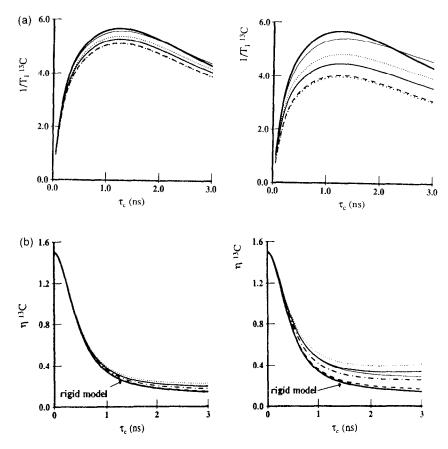


Fig. 6. (a) 100.6 MHz theoretical carbon longitudinal relaxation rates,  $1/T_1$ , as a function of molecular correlation time,  $\tau_c$ , established with the model-free spectral densities for  $S^2$  values of 0.9 (left) and 0.7 (right). The upper boldface trace corresponds to a rigid molecule, whereas the remaining traces correspond to a flexible one (key for the  $\tau_c$  values in ps: 1, dotted; 10, dashed; 100, solid; 200, small dots; 800, narrow solid). (b) 100.6 MHz theoretical carbon heteronuclear NOE factor,  $\eta$ , as a function of molecular correlation time,  $\tau_c$ , established with the model-free spectral densities. The key is the same as in (a). The trace for a rigid molecule is also given (lowest curve).

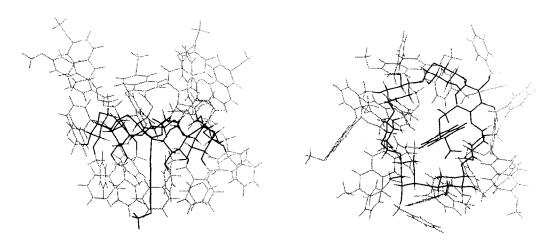


Fig. 7. Low-energy  $C_1$  conformer of modified  $\beta$ -cyclodextrin 1 view from above (right) and from the side (left).

 $\omega$  torsional angles (in agreement with the available NMR data). The average values for the seven  $\Phi_{\rm H}$ and  $\Psi_{\rm H}$  dihedral angles and for the  $r_{\rm H-I,H-4'}$  distances during a nanosecond MD trajectory are collected in Table 4. One of the  $\Phi_{\rm H}$ ,  $\Psi_{\rm H}$  pairs was located in the A-well, two were situated in the B-well while the four others occupied the D-well [43]. The average fluctuations of these dihedral angles were  $\sim 15^{\circ}$  and during these trajectories no transitions to neighbouring wells occurred. The average proton interglycosidic distance of the MD trajectories increased from 2.41 to 2.52 Å upon converting 2 to 1S. The latter value is in reasonable agreement with the average  $a_{\text{H-1.H-4'}}$  crosspeak volume of **1S**, 0.032 ± 0.005 (the average  $a_{H-1,H-2}$  value of 0.027 corresponds to an average  $r_{\text{H-1,H-2}}$  of 2.45 Å). In contrast, in comparison to the average absolute  $\Phi_{\rm H}$  (61.7°) and  $\Psi_{\rm H}$ (55.3°) values calculated from the heteronuclear coupling constants, the average theoretical  $\Phi_{
m H}$  and  $\Psi_{
m H}$ dihedrals are much too low (10° and 28°, respectively). The average value of the macrocyclic dihedral  $\theta_1$  is 4.3°.

The NMR-defined 1A conformer was obtained from 1S by first taking into account the vicinal

Table 5 Carbon longitudinal relaxation times  $^{a}$  (seconds) of a 10 mM solution of 1 in acetone- $d_{6}$ 

	Sugar								
	1	2	3	4	5	6	7	S	
C-1	0.185	0.165	0.175	0.145	0.165	0.15	0.17	0.21	
	0.01 a	0.01	0.02	0.01	0.01	0.02	0.01	0.01	
	(0.07) b	(0.08)	(0.06)	(0.07)	(0.08)	(0.06)	(0.07)	(0.03)	
C-2	0.19	0.18		0.21	$0.18^{5}$	0.21	$0.19^{5}$	0.18	
	0.02	0.00		0.01	0.02	0.01	0.02	0.02	
	(0.05)	(0.08)		(0.04)	(0.06)	(0.04)	(0.05)	(0.05)	
C-3	$0.17^{5}$	0.185	0.175	0.175	0.20	$0.18^{5}$	0.17	0.19	
	0.02	0.01	0.01	0.05	0.00	0.01	0.01	0.03	
	(0.05)	(0.04)	(0.05)	(0.07)	(0.05)	(0.04)	(0.06)	(0.06)	
C-4	0.17	0.19			0.195	0.185	0.165	0.17	
	0.00	0.01			0.01	0.02	0.01	0.01	
	(0.06)	(0.06)			(0.06)	(0.05)	(0.05)	(0.05)	
C-5	$0.18^{5}$	0.18	0.175	0.195	0.185	0.15	0.195	0.19	
	0.01	0.01	0.02	0.02	0.01	0.00	0.02	0.00	
	0.07	(0.04)			(0.07)	(0.09)	(0.04)	(0.03)	
C-6	$0.08^{5}$	0.08	0.095	$0.08^{5}$	$0.10^{5}$	0.09	0.09	0.09	
	0.01	0.02	0.01	0.01	0.02	0.01	0.00	0.00	
	(0.07)	(0.08)	(0.07)	(0.09)	(0.06)	(0.09)	(0.04)	(0.04)	

<sup>&</sup>lt;sup>a</sup> Key: average values for two experiments are in boldface, the deviation between the two experimental values is given below in plain font and the standard deviation of the fitting routine is indicated in parentheses.

Table 6 100.6 MHz <sup>13</sup>C heteronuclear nuclear Overhauser factors <sup>a</sup>,  $\eta$ , of a 10 mM solution of 1 in acetone- $d_6$ 

	Sugar									
	1	2	3	4	5	6	7	S		
C-1	0	0.50 (0.07)	0.53 (0.05)	0.53 (0.05)	0.63 (0.03)			0.46 (0.04)		
C-2	0.72 (0.07)									
C-3	0.70 (0.08)						0.51 (0.03)			
C-4		0.58 (0.09)					0.58 (0.09)			
C-5	0.74 (0.09)			0.60 (0.05)			0.60 (0.05)			
C-6		0.47 (0.06)			0.50 (0.05)					

<sup>&</sup>lt;sup>a</sup> Key: experimental values are the average of four measurements; the standard deviations are indicated in parentheses.

homo- and heteronuclear coupling constant data. Accordingly, the orientations of the naphthoyl group ( $\omega$ ) and the glycosidic linkages ( $\Phi_{\rm H}$ ,  $\Psi_{\rm H}$ ) were varied arbitrarily in order to reproduce the dihedral angles in Table 1 ( $\omega$ ) and 4 ( $\Phi_{\rm H}$ ,  $\Psi_{\rm H}$ ), respectively. It should be pointed out that the Karplus-type equation for the H-C-O-C fragment [20] generally has four solutions. From the potential energy maps established for the  $\alpha$ -(1  $\rightarrow$  4)-linked glucopyranose residues, it was possible to reduce the number of  $\Phi_{\rm H}$ values to two ( $-90^{\circ} < \Phi_{\rm H} < 90^{\circ}$ ). The choice of  $\Phi_{\rm H}$ then limited the range of  $\Psi_{\rm H}$  values compatible with closure of the macrocycle. A dozen starting geometries were constructed and minimized with harmonic constraints applied to the  $\nu_i$ ,  $\Phi_H$ , and  $\omega$  (five out of seven) dihedrals.

During the construction of the **1A** conformers the naphthoyl substituent of residue 3 was positioned directly above the  $\beta$ -CD cavity. Rotation about the  $\Phi_{\rm H}$  torsional angle in an attempt to attain the  $\Phi_{\rm H}$  value ( $\sim 90^{\circ}$ ) derived from the experimental coupling constant positioned residue 3 parallel to the plane of the macrocycle and pivoted the substituent into the cavity ( $\theta_{\rm L}$  (3) = 11°).

MD simulations were performed with harmonic constraints on either (i)  $\nu_i$ ,  $\Phi_i$  and five of the  $\omega$  torsional angles (300 ps, initiated with the geometry which reproduces the  $^3J_{\rm C,H}$  data) or (ii)  $\nu_i$ , five of the  $\omega$  dihedrals and the  $r_{\rm H-1,H-4'}$  internuclear distances (1

ns, initiated with the geometry which reproduces  $a_{H-1,H-4'}$  crosspeak volumes). In the first trajectory, the average  $r_{\rm H-I,H-4'}$  internuclear distance was much too long (> 2.8 Å) and the  $\Phi_{\rm H},\,\Psi_{\rm H}$  dihedrals did not retain the values derived from the heteronuclear interglycosidic coupling constants; an abrupt transition of one of the two unconstrained exocyclic dihedrals,  $\omega(7)$ , from GT to the GG occurred followed by sharp transitions of three  $\Psi_{\rm H}$  angles. One of these angles, which was initially in the D-well, crossed over to the F-well [42,43]. Simultaneously, these transitions, which were associated with a variation in potential energy (-1.5 kcal/mol), produced large variations in the macrocyclic puckering  $\Delta \theta_{\rm L}$  (7°) of four glucosyl residues and in the proton interglycosidic distances (roughly 0.5 Å) for four linkages. This event lead to a stable conformation, which was evaluated to be -4.1 kcal/mol with respect to 1S, and the resulting dihedrals persisted durably for the remainder of the trajectory.

In the second trajectory (1 ns), the simulation did not correctly reproduce the heteronuclear coupling constant data. The theoretical values were much too high (4.8 and 4.2 Hz for  $^3J_{\text{H-1,C-4'}}$  and  $^3J_{\text{C-1,H-4'}}$ , respectively). However, this family of structures was stable in the HGFB force field albeit 15 kcal/mol above the most stable geometry revealed by the conformational searching. The average fluctuations of the  $\Phi_{\text{H}}$ ,  $\Psi_{\text{H}}$  dihedrals were  $\sim 10^\circ$  and after 600 ps simultaneous transitions of two  $\Phi_{\text{H}}$ ,  $\Psi_{\text{H}}$  pairs to neighbouring wells occurred. The dihedrals of these two glycosidic linkages evolved in opposite directions (A  $\rightarrow$  D and D  $\rightarrow$  A) so as to preserve the overall average macrocyclic puckering ( $\theta_{\text{L}} = 5.4^\circ$ ).

Neither type of simulation led to a structure which could reproduce both the interglycosidic NOESY crosspeak volumes and the related heteronuclear coupling constants, but as the NMR data represent a time-averaged structure this was not entirely surprising. It is noteworthy that all the structures which could reproduce the heteronuclear coupling constant data were unstable in the HGFB force field. In contrast, the time-averaged structure from the second type of trajectory, which gave very good agreement with the NOESY data, corresponded to a local minima.

In conclusion throughout a total of close to 2 ns of MD simulations of modified  $\beta$ -CD 1, two types of interglycosidic transitions were observed, auto-compensated events which did not alter the overall macrocyclic puckering and irreversible events which were accompanied by a net change in the  $\beta$ -CD

puckering. The internal motions which modulate the laboratory-frame relaxation data (nanosecond timescale) could well be related to the former fluctuations. In contrast, the best model which emerged from the MD simulations for the transition state of the  $C_1/C_7$  equilibrium was the latter irreversible-type of event.

In a molecular mechanics study of symmetry-breaking in cyclodextrins, energy optimization was performed with both the MM2 and AMBER force fields [48] In the case of  $\beta$ -CDs, the  $C_1$  conformers were shown to be more stable than the  $C_7$  one encouraging the author to postulate that the  $C_7$  NMR representation corresponds to a time-averaged structure. Evolution of the  $C_7$  conformers to  $C_1$  geometries led to an increase in the macrocyclic puckering  $\theta_L$  ( $\theta_L = \text{O-4-C-4-C-1-O-4'}$ ) range which passed from  $0 \pm 2^\circ$  to  $0 \pm 20^\circ$ . The macrocyclic puckering of all the 1S and 2 structures reported in the present work belongs to the second category corroborating the conclusions of Lipkowitz.

# 4. Conclusions

The NMR data clearly indicate that a change in the conformation of the  $\beta$ -CD macrocycle is responsable for the existence of the  $C_1$  conformer of 1. The  $^{13}\text{C-}\omega_1$ -half-filtered NOESY sequence was very satisfactory as it afforded most of the interglycosidic vicinal carbon-proton coupling constants for a 20 mM solution of 1A and 1S. This represents a 10-fold gain in sensitivity with respect to the selective INEPT experiment which was recommended [49] recently for the determination of long-range <sup>13</sup>C, <sup>1</sup>H coupling constants. The dynamic model obtained by fitting the <sup>13</sup>C relaxation data with the 'model-free' spectral densities, demonstrates that although  $\beta$ -CD 1 is sterically hindered, it remains a fairly flexible molecule. Variable temperature <sup>13</sup>C spectra have also indicated that the residue 3 which is perpendicular to the CD torus undergoes a more local conformational equilibrium with coalescence at room temperature. This only affects the benzyl and the methine carbons (Fig. 7) located outside the cavity suggesting that this process is probably related to the tilt of the pyranosyl ring with respect to the macrocycle.

The nanosecond MD simulations were too short to produce the time-averaged  $C_7$  symmetry corresponding to **1S** as only two transitions were observed over a 2-nanosecond period. Moreover, simulations would have to be run on at least the microsecond scale in

order for these large-amplitude transitions to begin to have any statistical significance. Molecular modeling based on the NMR data points to complexation of one of the naphthoyl substituents. The driving force for the  $1S \rightarrow 1A$  transition may well be the favorable interactions of the exocyclic substituents at C-6 with the rest of the molecule (i.e., the hydrophobic cavity of the  $\beta$ -CD or the other substituents) as the variation of this dihedral appears to initiate rearrangement of the macrocycle. Concerted fluctuations of considerable amplitude were required for a significant variation in macrocyclic conformation indicating that the contribution of activation entropy  $\Delta S^{\neq \circ}$  associated with this process must be unfavorable. From the heteronuclear coupling constants it appears that the  $\Phi_{\mathrm{H}}, \; \Psi_{\mathrm{H}} \;$  pair of the residue perpendicular to the  $\beta$ -CD torus is located in an isolated secondary energy well (F-well). In the case of 2, the pathway between the main low-energy region (A-D-wells) and the F-well includes regions about 10 kcal/mol above the global minimum suggesting that the  $\Delta H^{\neq o}$  associated with this processus must also be unfavorable. As temperatures greater than 100 °C are required in order to begin to observe the coalescence of the signals of the  $C_1$  and  $C_7$  isomers, it is clear that the energy barrier which separates these two forms is very high.

Despite the understanding gained about of the 1A conformational behaviour, the present investigation does not provide any definitive clue for predicting whether a symmetrically functionalized  $\beta$ -cyclodextrin will exhibit stable  $C_1$  conformers. Indeed, the rate of the  $C_1$  to  $C_7$  exchange appears to be strongly influenced by subtle variations in the substitution pattern and an extensive study would be required to rationalize the effects of structural modifications on the kinetics of this exchange. From a chemist's point of view, the size of the  $\beta$ -CD cavity is of course the main focus and this parameter does not appear to be significantly affected by the exchange phenomenon. It has been recently shown in a MM study of permethylated CDs that the opening on the secondary hydroxyl face is increased by substitution from 12.5 to 14 Å [50]. Analogous values for this opening have been evaluated in the case of 1S or 1A.

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